

Mail Stop Patent Application
 COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, VA 22313-1450

Case Docket No. SJO920020109US1
 October 17, 2003
 Express Mail Label No. EV326952378US
 0037.0037

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Stevan C. Allen, Sanjay Shyam, Victor Sheng-Jian Liang, and Savur Anand Rao



For: **METHOD, SYSTEM, AND PROGRAM FOR DESIGNATING A STORAGE GROUP PREFERENCE ORDER**

Enclosed are:

- ☒ 6 Sheet(s) of drawings + 0 extra copies;
17 pages of Application; 12 pages of specification, 1 page of abstract
☒ An assignment of the invention to International Business Machines Corporation. (☐ Will follow.)
☒ Declaration and Power of Attorney. (☐ Will follow.)
☒ IDS enclosed- 16 references (none enclosed)
☒ Formal Drawings enclosed; 5 sheets.
☒ Amendment to the Drawings; 3 sheets
☒ Return Postcard.

| CALCULATION OF FEES | | | | | | |
|---------------------|--|------------------------------------|---------|----------------------------|----------------------|-----------|
| | ITEM | NO. OF CLAIMS FILED MINUS BASE* | | NO. OF CLAIMS OVER BASE | X SMLG ENTITY FEE | \$ AMOUNT |
| A | TOTAL CLAIMS FEE | 24 | - 20* = | 4 | X \$9 or \$18 | \$72 |
| B | INDEPENDENT CLAIMS FEE** | 3 | - 3* = | 0 | X \$42 or \$84 | \$0 |
| C | SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B) | | | | | \$72 |
| D | MULTIPLE-DEPENDENT CLAIMS FEE SMALL ENTITY FEE = \$140; LARGE ENTITY FEE = \$280 | | | | | \$0 |
| E | BASIC FEE* SMALL ENTITY FEE = \$370; LARGE ENTITY FEE = \$750 | | | | | \$750 |
| F | TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E) | | | | | \$822 |
| G | ASSIGNMENT RECORDING FEE | | | | | \$ 40 |
| | **LIST INDEPENDENT CLAIMS 1, 9, 17 | | | | | \$40 |

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☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

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17510 U.S. PTO
 10/687948
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|---|------------------------|-------------------|
| TRANSMITTAL FORM | Application Number | Not Yet Assigned |
| <i>(To be used for all correspondence after initial filing)</i> | Filing Date | October 17, 2003 |
| | Inventor | S.C. Allen et al. |
| | Group Art Unit | Not Yet Assigned |
| | Examiner Name | Not Yet Assigned |
| Total Number of Pages in this Submission: 6 | Attorney Docket Number | SJO920020109US1 |


ENCLOSURES (check all that apply)

| | | |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits /Declarations <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; ___ references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an application) <input checked="" type="checkbox"/> Formal Drawings: <u>5</u> sheets <input type="checkbox"/> Licensing-related papers <input type="checkbox"/> Petition: _____ <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, and/or Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ___ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Certificate of Correction <input type="checkbox"/> Fee Address Indication Form <input type="checkbox"/> Other Enclosure(s) (<i>please identify below</i>) |
|--|--|---|

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|--|--|
| Firm or Individual Name: | Janaki K. Davda, Registration No. 40,684 |
| Signature: | <i>Janaki K. Davda</i> |
| Date: | October 17, 2003 |
| KONRAD RAYNES VICTOR & MANN, LLP 315 South Beverly Drive, Suite 210 Beverly Hills, California 90212 (310) 556-7983 | |
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| I hereby certify that this Transmittal is being deposited with the United States Postal Service by express mail (#EV326952378US) in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO, on the date indicated below. | | |
| Typed or Printed name: | Janaki K. Davda |  24033 PATENT TRADEMARK OFFICE |
| Signature: | <i>Janaki K. Davda</i> | |
| Date: | October 17, 2003 | |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for
S. C. Allen, S. Shyam, V. S. Liang and S.
A. Rao

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Serial No.: Not Yet Assigned

Filed: October 17, 2003

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ORDER**

CERTIFICATE OF MAILING

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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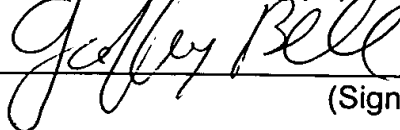
I hereby certify that patent application papers, including specification, claims and 6 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 17, 2003

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Geoffrey Bell

(Name of person mailing paper or fee)



(Signature)